USC RESEARCH AND INNOVATION

Request For Proposals

Humanities & Humanistic Social Sciences (HHSS) Program

FUNDING ORGANIZATION  USC Research and Innovation (R&I)

APPLICATION DEADLINE  5 PM, Pacific Time, May 29, Annually. Proposals submitted after this deadline will be rejected without review. When a due date falls on a weekend, federal holiday, or when USC offices are closed due to weather or other circumstances, the application deadline is automatically extended to the next business day.

SCIENTIFIC MERIT REVIEW  May – June

PROGRAMMATIC REVIEW  May – June

NOTIFICATION  June

EARLIEST AWARD DATE  July – August

AWARD PROJECT PERIOD  HHSS Scholars Award: 1 year
                      HHSS Initiative Award: 1 year

FUNDS AVAILABLE  HHSS Scholars Award: $145,000
                 HHSS Initiative Award: $125,000

All awards are subject to the yearly availability of funds, terms and conditions, cost principles, and other considerations.

ANTICIPATED AWARDS  HHSS Scholars Award: 1
                     HHSS Initiative Award: 1

The number of awards is contingent upon the receipt of a sufficient number of meritorious applications.

BACKGROUND

The Research and Innovation (R&I) Humanities & Humanistic Social Sciences (HHSS) program aims to promote creativity and excellence by supporting humanities and humanistic social science faculty in their individual and collective scholarly work. The activities supported will encompass...
multiple diverse and plural modes of thinking, doing and being, in an extremely broad range of media. The humanities and humanistic social sciences take a rich qualitative approach to the study of human creative thought and expression, and apply it to understanding and contextualizing how people process and document the human experience through events, ideas, policies, and human relationships. Humanities and humanistic social science scholarship produces better-informed leaders, innovators, and global citizens with a social conscience who are able to express their views persuasively and through different media and forms. The University of Southern California (USC) understands the importance of supporting faculty in the humanities and humanistic social sciences, given their invaluable perspective and consideration of cultural assumptions, patterns, and structures of thought. Humanities and humanistic social sciences also can contribute inventive concepts and approaches to topics like energy, sustainability, literature, art, philosophy, anthropology, and many other areas that result in impactful research, creative works, and scholarship.

OPPORTUNITY

The HHSS program consists of two subprograms: (1) HHSS Scholars Award, which will allow faculty to be coached and receive advice on writing more competitive grant applications to external funding organizations; and (2) HHS Initiative Award, which is focused on a larger collaborative effort that includes multiple humanists and humanistic social scientists. These subprograms are discussed in greater detail below.

All acquisition funds will be awarded after the completion of a competitive peer review and a programmatic review. Funding for artistic and humanistic projects can be obtained through the USC Office of the Provost’s Advancing Scholarship in the Humanities and Social Sciences Program, which has three subprograms: ASHSS Early Sabbatical; Research and Creative Project Grants; and Grant Writing Mentorship. ASHSS and R&I’s Humanities & Humanistic Social Science Program are meant to be non-overlapping and complimentary. Email questions about ASHSS to facultydevelopment@usc.edu.

HUMANITIES & HUMANISTIC SOCIAL SCIENCE (HHSS) SCHOLARS AWARD

The HHSS Scholars Award will provide funding to support a faculty coordinator and up to 10 individual humanistic and humanistic social science faculty, from the assistant to full professor level. The award will support an intensive, one-month summer workshop, which will meet at least once per week, with additional meetings for each of the faculty members with the coordinator. The coordinator, a faculty member, will provide guidance, advice, and an intensive hands-on tutorial in all aspects of preparation necessary for the submission of a grant to a federal funding agency, foundation, or other external funding source. This highly interactive experience will allow faculty to receive guidance and advice from a faculty member with a solid understanding of the research methodologies, approaches, lines of inquiry, conventions, critical frameworks, and relevant questions in humanities and humanistic social sciences, as well as an understanding of the expectations of a given external funding source that supports research in the humanities and humanistic social sciences. Those accepted into the program will agree to submit a grant application to a relevant funding agency by June 30 of the following year.

HUMANITIES & HUMANISTIC SOCIAL SCIENCE (HHSS) INITIATIVE AWARD

The HHSS Initiative Award will provide funding for research projects focused on humanities and humanistic social science-related topics that are interdisciplinary in scope and could lead to future external funding from an external funding source, e.g., federal funding agencies and foundations. The premise of the initiative is that transformations of societies and their important impact on nature are based on human actions, and human actions stem from cultural, social, and ethical schemes of knowledge, interpretation, and awareness. Applicants are encouraged to develop an initiative that explores our shared human experiences through discussion, learning and reflection by incorporating innovative, community-based activities. Such an effort would bring the ideas of the humanities and humanistic social sciences to life for broader audiences within and outside of USC through public programming. Applicants are expected to focus on creative work and ideas that demonstrate the impact of humanistic inquiry on society, promote global understanding and collaboration, and contribute to the debate regarding universal issues. Applicants are strongly encouraged to develop interdisciplinary proposals that bridge multiple disciplines in the humanities, humanistic social
sciences. Proposals that also include the arts and other disciplines – e.g., biological science, physical sciences, computer science, digital technologies, and engineering – are also encouraged.

TOPICS

For this Request for Proposals, examples of humanities and humanistic social sciences focus areas include, but are not limited to history, philosophy, law, religion, modern and ancient languages, political science, and psychology. Topics also may include public humanities projects that include but are not limited to gallery exhibitions, arts programming, and the development of new high school, undergraduate, or graduate education curriculum. Specific interdisciplinary topics for the HHSS Initiative include but are not limited to issues that are of great importance and interest to local communities in Southern California, including climate change, sustainability, environmental health, social justice, racism, discrimination, and humanities / humanistic social science entrepreneurship.

RETURN ON INVESTMENT

USC Research and Innovation (R&I) views return on investment as developing and enhancing the reputation of our faculty as nationally recognized humanistic scholars and humanistic social scientists who have a significant scholarly impact on society and their field. It is expected that a funded proposal will yield a return on investment of at least 2–4% that will be determined by considering the magnitude of submitted future funding applications to external sponsors. For example, a proposal requesting $100,000 in funding from this opportunity would need to identify future Funding Targets that target cumulative funding of $200,000 - $400,000 or more in total costs summed over all award years. For the HHSS Scholars Award, the total cost amounts summed over all award years for each Funding Target identified for all faculty participating in the workshop would be summed.

FUNDING TARGETS

Proposals submitted to this program are required to result in one or more proposals submitted to an external funding program sponsored by a federal agency, foundation, company, or other entities. External financial support as described in this Request for Proposals may be obtained from any external sponsor; however, proposals that target federal funding agencies are of greatest interest. Funding targets and organizations that may support this effort include but are not limited to the following:


RESOURCES FOR SUBMITTING GRANT PROPOSALS

Applicants selected for funding are required to contact Research Strategy & Development (RSD), a Research & Innovation suboffice dedicated to supporting faculty in the preparation of competitive applications to federal funding agencies. RSD has considerable experience in generating and submitting proposals to federal agencies. RSD can provide support services to applicants, including science writing, budget preparation, and supporting documentation collection. Specific support provided is contingent on RSD workload and prior commitments. Applicants should contact RSD by sending an email to robyngil@usc.edu.
RESOURCES FOR PROMOTING INNOVATION

Applicants selected for funding are required to contact USC Stevens Center for Innovation (USC Stevens). USC Stevens is the university’s technology licensing office, responsible for the translation of USC research into products for public benefit through licenses, collaborations, and the promotion of entrepreneurship and innovation. USC Stevens staff can provide critical guidance related to the invention disclosure and technology licensing processes, as well as an overview of USC’s policies related to intellectual property, researcher IP rights, and responsibilities, amongst other topics. Specific support provided may include organized events through Research and Innovation’s Center for Excellence in Research, and is contingent on USC Stevens workload and prior commitments. Applicants should contact USC Stevens by sending an email to stvinfo@usc.edu.

PROPOSAL REQUIREMENTS

Applicants must carefully follow instructions. Information uploaded beyond what is requested will not be included in the proposal package provided to reviewers.

a) **Award Program:** Specify the subprogram to which you are applying (HHS Scholars Award or HHSS Initiative Award).

b) **Brief Description:** (not to exceed 60 words) A brief description of the proposed work.

c) **Abstract:** (not to exceed 20 lines of text): Provide a stand-alone succinct description of the proposed work.

d) **Narrative:** (not to exceed 5 pages): Page limits are inclusive of figures and tables and include 1” margins of single-spaced text in Arial font. The following headers should be included:

   a. **Background, Significance, & Broader Impact:** Describe the societal and regional relevance and benefit of the proposed effort. For the HHSS Scholars Award, describe the humanities and humanistic social science disciplines of faculty who may participate in the workshop, and how external funding in these disciplines will benefit USC, the region, and society more broadly. For the HHSS Initiative Award, describe the scholarly research to be supported from the following perspectives: In general, what is the importance of the project to USC, the community, and society? What is the state of the research and scholarly activity at USC and elsewhere, and what are the important questions for the topic?

   b. **Approach:** Describe the specific activities to be supported by the research award. For the HHSS Scholars Award, describe how faculty will be recruited for the workshop, and what criteria will be used to select participants. Also describe in general terms what guidance, advice that will be provided to participants, as well as the content and structure of the intensive hands-on tutorial and other activities planned for the workshop. For the HHSS Initiative Award, describe how these will contribute to the submission of a successful proposal to an external funding program.

   c. **Project Team:** For the HHSS Award, identify a faculty coordinator who will serve as the project PI. If there is more than one proposed coordinator, they will be referred to as co-PIs. Discuss why the coordinator(s) would be successful in leading the workshop. Describe the humanistic and humanistic social science expertise and scholarship of the coordinator(s), and how this will contribute to the success of the effort. For the HHSS Initiative Award, identify a USC PI/co-PI and any USC Co-Is. Describe how the scholarly expertise and scholarship of the PI/co-PIs and any Co-Is will be integrated through the proposed project. Proposals should include a detailed description of the proposal team, including a discussion of each team member’s past and work that is relevant to this effort.

   d. **Timeline:** Provide a detailed timeline of activities to be accomplished.

   e) **Return on Investment:** Identify the specific external funding opportunity to be pursued (including URL) to which an application(s) will be submitted that are at least 2-4x the funds requested under this RFP.
f) **Grant Submission Commitment:** For the HHSS Award, the proposal must include a statement in which the coordinator specifies that selected faculty for the workshop will be required to submit proposals to the opportunity or opportunities identified as the Funding Target. For the HHSS Initiative Award, the proposal must include a statement in which the applicant commits to submit a proposal to the opportunity or opportunities identified as the Funding Target.

g) **Innovation Ecosystem Commitment:** Research and Innovation (R&I; formerly the USC Office of Research) is committed to establishing a thriving innovation ecosystem at USC that will build collaborations between USC researchers and venture capital firms, accelerators, incubators, small and large businesses, and foundations. R&I and USC’s Research Initiatives and Infrastructure (RII) office are working closely with USC University Advancement to develop these partnerships. The proposal must include a statement in which the applicant commits to contacting USC University Advancement at hpourman@usc.edu to discuss potential additional funding and/or potential for commercialization or intellectual property for the proposed product and activities that may arise as a result of this effort.

h) **Budget and Budget Justification** (not to exceed two pages): Specify a project start and end date. Utilize standard budget categories, only including the allowable budget cost categories as detailed in the **Grant Conditions** section.

i) **Current Funding:** List all sources of internal and external support awarded during the past five years, current or pending, for the PI/Co-PIs and Co-Is. For each source, specify who on this proposal was involved, their role on the project, title of the award, period, award amount, and sponsor (including subagency).

j) **Letter(s) of Support:** Provide a letter(s) of support from applicable individual(s), e.g., department chairman or dean.

k) **References:** This includes citations listed in the narrative.

l) **Curriculum Vitae** (not to exceed 5 pages per PI/Co-PI/Co-I): Applicants may use any standardized CV format (e.g., NEH or the National Endowment of the Arts (NEA) Biographical Sketch, NSF Biographical Sketch).

**ELIGIBILITY**

PIs/Co-PIs must be permanent, full-time faculty at USC. Tenure track and non-tenure track faculty are eligible; visiting and adjunct faculty are not eligible to apply.

**GRANT CONDITIONS**

R&I’s awards and grant programs are administered by the Research Initiatives and Infrastructure (RII) office.

- If a faculty has an industry relationship, an approved management plan must be in place prior to receipt of award (https://disclose.usc.edu). Teams that will form a startup should anticipate submitting a conflict of interest disclosure. Questions regarding conflict of interest and disclosures can be directed to Ben Bell in the Office of Compliance (benjamab@usc.edu).

- At the time of being notified by RII that an award will be made and as a condition of funding, awardees will provide to RII the name, title, and email address of a USC senior business official who will establish an internal funding account in which RII funds for the award will be transferred.

- Within one month of being notified by RII that an award will be made and as a condition of funding, awardees will provide RII with an account number and enable view access for the account, such that RII staff will be able to view the account balance. If this information is not provided to RII within this timeframe, RII may elect to rescind the award and use the funds to make an award to another applicant.

- For the HHSS Scholars Award, the awardee (coordinator) commits to requiring workshop participants to submit in the future applications to a funding opportunity (Funding Targets) sponsored
by an external agency. For the HHSS Initiative Award, the awardee commits to submitting in the future an application or applications to a funding opportunity (Funding Targets) sponsored by an external agency.

- Awardees commit to contacting Research Strategy & Development (RSD) to explore obtaining support services for external agency grant submissions, including science writing, budget preparation, and supporting documentation collection. Awardees contact RSD by sending an email to robyngil@usc.edu.

- Awardees commit to contacting USC Stevens Center for Innovation to obtain guidance related to the invention disclosure and technology licensing processes, as well as an overview of USC’s policies related to intellectual property, researcher IP rights and responsibilities, amongst other topics. Awardees contact USC Stevens by sending an email to stvinfo@usc.edu.

- Prior to release of funds, all awardees will attend an in-person ceremony with R&I leadership.

- Failure to spend at least half of the awarded funds within six months of the project start date may result in the suspension of the remaining funds.

- This award will not provide financial support beyond the duration of the award and does not carry the opportunity for renewal.

- Funds not spent by the end of the project period will be returned to Research & Innovation.

- R&I’s Humanities & Humanistic Social Science Program awards are expected to be complementary to and non-overlapping with the Office of the Provost’s ASHSS program. RII staff will carefully review budgets of applicants submitted to the Humanities & Humanistic Social Science Program and consult with ASHSS staff to assure that there is no budget overlap between a project being considered for funding under R&I’s Humanities & Humanistic Social Science Program and any project funded, or under funding consideration, by ASHSS.

- Awardees have discretion in budgeting and re-budgeting funds to meet their research needs within the fund's guidelines and the terms of the proposal. However, funds may not be transferred to another project or other researchers or institutions.

- **HHSS Scholars Award Budget**
  - Allowable Budget Expenses:
    - Faculty salary & fringe (one month for coordinator and for each workshop participant)
    - Materials & supplies
    - Other expenses
  - Non-allowable Budget Expenses:
    - Postdocs/graduate/undergraduate student RAs/administrative personnel salary & fringe, excluding funding student tuition and other student-related fees.
    - Equipment
    - Travel
    - Consultants
    - Subawards

- **HHSS Initiative Award Budget**
  - Allowable Budget Expenses:
    - Faculty salary & fringe (one month for coordinator and for each workshop participant)
    - Postdocs/graduate/undergraduate student RAs/administrative personnel salary & fringe, excluding funding student tuition and other student-related fees.
    - Travel
    - Materials & supplies
    - Other expenses
- Consultants
  - Non-allowable Budget Expenses:
    - Equipment
    - Subawards
- All USC rules, concerning conflict of interest, human subject research, animal research, etc., apply to projects funded under this program. Funding will only be provided following confirmation that all pertinent reviews have been submitted for approval by relevant committees (e.g., IRB, IACUC).

**SCIENTIFIC REVIEW CRITERIA**

Application review criteria will be based on the following:

1. **Intellectual Quality:** For the HHSS Scholars Award, the PI (coordinator) has an outstanding scientific reputation, as well as substantive knowledge, skills, and abilities in the humanities and humanistic social sciences. The PI (coordinator) will identify faculty to participate in the workshop, and will be able to engage and them to develop a grant application that can be submitted to an external funding source. For the HHSS Initiative Award, the applicant’s abilities and qualifications to effectively address the pertinent issues are well described. Novel or promising questions, approaches, and methods are presented. The application is clearly focused on the humanities and humanistic social sciences, engages important texts and other resources, and draws on sound scholarship to describe an approach to the topic that is intellectually compelling.

2. **Design Quality:** The application provides evidence of well-planned activities that are described in adequate detail. The application clearly documents the research agenda and project activities, including a commitment to both short- and long-term project objectives. The application presents a compelling conceptual framework, research design, and analytical methods, and the relationship of these elements to the proposed effort is clearly defined.

3. **Potential for Significant Impacts:** The application describes the humanities and humanistic social science significance, impact on the field, society, and the community, as well as the contribution of the project to humanistic inquiry. The application clearly documents the potential of project activities to yield valuable insights for the benefit of humanities communities, the greater public, and other sectors alike.

4. **Feasibility:** The application describes the project’s feasibility, design, cost, and work plan. The application makes a convincing case that the project will be completed within the project period.

5. **Potential of Future Funding:** The project specifies a Funding Target that is expected to yield a return on investment of at least 2-4x the funding requested from RII, and this is an appropriate and realistic Funding Target. For the HHSS Scholars Award, the total cost amounts summed over all award years for each Funding Target identified for all faculty participating in the workshop would be summed.

6. **Budget:** The application describes a cost-effective project, and the availability of any support/match funding from a USC school or program. Reviewers will consider whether the budget and the requested period of support are fully justified and reasonable in relation to the proposed activities.

**PROGRAMMATIC REVIEW**

We will incorporate aspects of existing policies and procedures regarding funding decisions that are utilized by major federal funding agencies like NEA and NEH, i.e., proposals will be subject to peer review and programmatic review. Programmatic review assures maximum efficiency for funding awards and strategic alignment with this program’s and the university’s strategic research priorities. Ultimate funding decisions will be made based on both peer and programmatic reviews.

**DIVERSITY, EQUITY, AND INCLUSION**

Research and Innovation and RII strongly support a culture of diversity, equity, and inclusion. Proposals should incorporate meaningful DEI practices across the project team and proposed activities. This will be a
consideration during the programmatic review.

**REPORTING AND ACKNOWLEDGEMENT OF SUPPORT**

Awardees will be required to submit one-page progress reports, due six months into the project period and at the point of completion. This report must detail scientific, financial, and research performance over the preceding months.

**PROPOSAL SUBMISSION**

Submit your proposal application utilizing the RII application submission and reporting portal. Go to [https://rii.usc.edu/funding/oor-portal/](https://rii.usc.edu/funding/oor-portal/) to log in or create an account using your USC email address.

**FURTHER INFORMATION AND PROGRAM CONTACT INFORMATION**

We encourage inquiries to RII concerning this funding opportunity and welcome the opportunity to answer questions from potential applicants. For additional information or inquiries, please send an email to [rii@usc.edu](mailto:rii@usc.edu) with “Humanities & Humanistic Social Sciences Award” in the subject line.

**APPLICATION CHECKLIST**

- Award Program
- Brief Description
- Abstract
- Narrative
  - Background, Significance & Broader Impacts
  - Approach
  - Project Team
  - Timeline
- Return on Investment
- Grant Submission Commitment
- Innovation Ecosystem Commitment
- Budget and Budget Justification
- Current Funding
- Letter(s) of Support
- References
- Curriculum Vitae
**FREQUENTLY ASKED QUESTIONS (FAQs)**

**Q1:** Can I work with faculty across multiple USC schools?
**A1:** Absolutely—we strongly encourage applicants to work with colleagues across multiple USC schools.

**Q2:** Why do I need to document a return on investment?
**A2:** Much like federal funding agencies, R&I has a budget that needs to be re-authorized yearly. The university has many competing interests, and R&I needs to make a compelling case every year to receive funding. The likelihood of receiving funds—and increasing R&I funding—is contingent upon the demonstration that the activities we support offer a significant return on investment and generate creative and research products that have a significant societal impact. The greater your project's return on investment, the more you help us advocate for our research funding allocation.

**Q3:** What is the difference between the HHSS Scholars Award vs. and the HHSS Initiative Award?
**A3:** The HHSS Scholars Award will support individual research and scholarly activities conducted within humanities and humanistic social science disciplines. The HHSS Initiative Award provides funding that supports a single larger effort that can incorporate interdisciplinary humanities and humanistic social science disciplines and the work of multiple faculty.

**Q4:** What is the difference between the Office of the Provost's Advancing Scholarship in the Humanities and the Social Sciences (ASHSS) program vs. R&I's Humanities & Humanistic Social Science Program?
**A4:** The two programs have different goals, guidelines, review and selection criteria, budgets, and administrative staff. ASHSS aims to bolster the scholarship of USC’s top faculty in the arts, humanities, and humanistic social sciences. The underlying principle guiding the expansion and change in the program is to support the university’s most productive faculty at critical moments during the research process so that they can produce high-caliber scholarly work sooner. ASHSS includes three subprograms: ASHSS Early Sabbatical; Research and Creative Project Grants; and Grant Writing Mentorship. Email questions about ASHSS and these subprograms to facultydevelopment@usc.edu. R&I’s Humanities & Humanistic Social Science Program provides larger awards to support interdisciplinary humanities & humanistic social science projects involving multiple faculty that could lead to external organization funding (HHSS Initiative Award), as well as support for an intensive workshop to prepare multiple faculty to submit competitive proposals to external sponsors (HHSS Scholars Award). R&I’s Humanities & Humanistic Social Science Program is not meant to focus on a single project or the work of a single faculty. It is expected that there is no budget overlap between a project under consideration for funding by R&I’s Humanities & Humanistic Social Science Program and any project funded, or under funding consideration, by ASHSS.

**Q5:** This effort does not specifically mention support for the arts. How can I get support from R&I for language arts (literature, writing, oratory, rhetoric, poetry), visual arts (painting, sculpture, photography, filmmaking), fine and performing arts, digital media and cultural studies, arts education, dance, design, folk & traditional arts, literary arts, music, musical theater, opera, or other arts creative pursuits?
**A5:** As part of a broad interdisciplinary effort, any of these disciplines may be included in an application for a HHSS Initiative Award. RII will release another RFP that will focus exclusively on these disciplines.

**Q6:** If I am awarded in July or August, I could potentially miss or be unable to apply to funding target deadlines, if they fall too closely to the award date or post-award date.
**A6:** The program deadlines are not matched to federal or foundation funding program deadlines in a given calendar year. You certainly can apply to this program in advance of federal funding deadlines, for submission to the next year’s deadlines.
Q7: The announcement does not specify a cap, only the available funds. How much funding can I request?
A7: There are no funding caps. You should request an amount you think is scientifically appropriate and justified. The requested budget is subject to both scientific peer and programmatic review, and any R&I award made could be for a lesser amount than requested.

Q8: If my proposal is selected for funding, will I automatically receive my requested amount?
A8: Not necessarily. The budget is subject to scientific peer and programmatic review, and a recommended award may be for less than what was requested.

Q9: I am dissatisfied with my review. Can I rebut the review and get a new one?
A9: RII goes to considerable effort to ensure that the scientific peer review is fair, transparent and impartial. Constituting review committees and organizing reviews takes considerable time and effort. Thus, RII does not have the resources or a mechanism to re-review proposals. Thus, the results of the scientific peer review are final.